Image# 14970867524 PAGE 1 / 27

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	other man	All Additionized	Committee			Office Use Only
NAME OF T COMMITTEE (in full)	YPE OR PRINT T		mple: If typir r the lines.	ng, type	12FE4M5	
Securities Industry and	Financial Ma	rkets Associa	ation Polit	ical Action	n Committ	ee
ADDRESS (number and street)	1101 New York A	venue, NW				
Check if different	8th Floor					
than previously reported. (ACC)	Washington				DC	20005
2. FEC IDENTIFICATION NUM	//BER ▼	CITY 🛦			STATE A	ZIP CODE ▲
C C00431312		3. IS THIS REPORT	\	NEW OR	AM (A)	IENDED
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2)		May 20 (M5)	Aug	20 (M8) Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	Due Oii.	Mar 20 (M3)		Jun 20 (M6)		20 (M9) Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q1)) -	Apr 20 (M4)		Jul 20 (M7)		20 (M10) Jan 31 (YE)
July 15 Quarterly Report (Q2)	(c) 12-Day PRE-EI Benort		Primary (12P Convention (General (
October 15 Quarterly Report (Q3)			Convention	120)	opeoidi (120)
January 31 Year-End Report (YE)		Election on	M M /	D D /	Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-E		General (300	à)	Runoff (3	Special (30S)
Termination Report (TER)	Пороп	Election on	M M /	D = D /	Y = Y = Y = Y	in the State of
5. Covering Period 08	01	2014	through	08	31	2014
I certify that I have examined this	Report and to th	e best of my kno	wledge and b	pelief it is tru	e, correct and	d complete.
Type or Print Name of Treasurer	Mr. Kenneth E. B	entsen Jr.				
Signature of Treasurer Mr. Ker	nneth E. Bentsen Jr.		[Electronically	Filed] D	ate 09	/ 19 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
NOTE: Submission of false, erroneo	us, or incomplete	information may su	bject the pers	son signing th	is Report to th	ne penalties of 2 U.S.C. §437g.
Office Use Only						FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

2014 80 2014 Report Covering the Period: 31 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 249148.88 January 1, 2014 (b) Cash on Hand at 200128.98 Beginning of Reporting Period..... 305968.54 27290.64 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 227419.62 555117.42 6(a) and 6(c) for Column B)..... 18948.77 346646.57 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 208470.85 208470.85 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D)

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: 08	01 2014	To: 08 31 2014
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Contributions (other than loans) From: (a) Individuals/Persons Other		
Than Political Committees		
(i) Itemized (use Schedule A)	8269.86	226337.93
(i) itomizoa (ase cenedale / i)		
(ii) Unitemized(iii) TOTAL (add	657.90	10936.69
Lines 11(a)(i) and (ii)	8927.76	237274.62
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	15000.00	62000.00
(such as PACs)(d) Total Contributions (add Lines		320000
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	23927.76	299274.62
Transfers From Affiliated/Other		
Party Committees	0.00	0.00
,	7	
3. All Loans Received	0.00	0.00
4. Loan Repayments Received	0.00	0.00
Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	1237.88	3568.92
Refunds of Contributions Made	7	
to Federal Candidates and Other		
Political Committees	2125.00	3125.00
7. Other Federal Receipts		
(Dividends, Interest, etc.)	0.00	0.00
8. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
 9. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) 10. Total Federal Receipts 	27290.64	305968.54
(subtract Line 18(c) from Line 19)▶	27290.64	305968.54

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
1.	Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Calcinati Total to Buto
	(i) Federal Share	0.00	0.00
	(i) Tederal Onale		
	(ii) Non-Federal Share	0.00	0.00
	(b) Other Federal Operating		
	Expenditures	948.77	4146.57
	(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	948.77	4146.57
	Transfers to Affiliated/Other Party	0.0	1110.0
	Committees	0.00	0.00
	Contributions to Federal Candidates/Committees and Other Political Committees	18000.00	342500.00
	Independent Expenditures		
	(use Schedule E)	0.00	0.00
	Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)	0.00	
	(use Schedule F)	0.00	0.00
	Loan Ronayments Made	0.00	0.00
	Loan Repayments Made		5.50
	Loans Made	0.00	0.00
	Refunds of Contributions To: (a) Individuals/Persons Other		
	Than Political Committees	0.00	0.00
	4	0.00	0.00
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees (such as PACs)	0.00	0.00
	(02011 00 17100)	7	7
	(d) Total Contribution Refunds		
	(add Lines 28(a), (b), and (c))▶	0.00	0.00
	Other Birth and the	0.00	0.00
	Other Disbursements	0.00	0.00
	Federal Election Activity (2 U.S.C. §431(20))		
	(a) Allocated Federal Election Activity		
	(from Schedule H6)		
	(i) Federal Share	0.00	0.00
	400 M	0.00	0.00
	(ii) "Levin" Share(b) Federal Election Activity Paid Entirely	0.00	0.00
	(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add		
	Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
	Total Disbursements (add Lines 21(c), 22,		
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	18948.77	346646.57
	Total Federal Disbursements		
	(subtract Line 21(a)(ii) and Line 30(a)(ii)		
	from Line 31)	18948.77	346646.57

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	23927.76	299274.62
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	23927.76	299274.62
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	948.77	4146.57
7. Offsets to Operating Expenditures (from Line 15, page 3)	1237.88	3568.92
8. Net Operating Expenditures (subtract Line 37 from Line 36)	-289.11	577.65

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commercial purposes, other than using	the name and address of any political committee to	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finance	cial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial) A. Mr. R. Carlson		Date of Receipt
Mailing Address 787 7th Avenue		08 01 2014
City	State Zip Code	Transaction ID : 21923304
New York	NY 10019-6018	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	250.00
Name of Employer	Occupation	1
D.A. Davidson & Company	Financial Advisor	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼	Contribution
Other (specify)	250.00	
Full Name (Last, First, Middle Initial) Ms. Candice Koederitz		Date of Receipt
Mailing Address 1585 Broadway		08 11 2014
City	State Zip Code	Transaction ID: 21942715
New York	NY 10036-8200	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	750.00
Name of Employer	Occupation	-
Morgan Stanley	Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 750.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Paul J. Leyden		Date of Receipt
Mailing Address 18 Powder Hill Road		08 15 _ 2014 _
City	State Zip Code	Transaction ID : 21947124
Bedford	NH 03110-4845	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	-
RBC Wealth Management	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	500.00	Contribution
SUBTOTAL of Receipts This Page (optional)	>	1500.00
TOTAL This Period (last page this line numb	er only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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Anv information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

NAME OF COMMITTEE (In Full) Securities Industry and Financia Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen Jr. Mailing Address 1101 New York Ave, NW	al Markets Association Political Ac	tion Committee
Mr. Kenneth E. Bentsen Jr.		
8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: □ Primary □ General □ Other (specify) ▼	State Zip Code DC 20005-4269 C Occupation President Aggregate Year-to-Date ▼ 3077.60	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Mailing Address 1101 New York Ave, NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4269 C Occupation Managing Director Aggregate Year-to-Date ▼ 307.68	Date of Receipt M M M / 06 2014 Transaction ID: 21947130 Amount of Each Receipt this Period 19.23
Full Name (Last, First, Middle Initial) Ms. Kyle Brandon Mailing Address 120 Broadway 35th Floor City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10271-3599 C Occupation Managing Director, DOR Aggregate Year-to-Date ▼ 1538.40	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (optional)	•	307.73

Use separate schedule(s) for each category of the Detailed Summary Page

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	he name and address of any political committee t	
, ,	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Ms Kim Chamberlain Mailing Address 120 Broadway 35th Floor City New York FEC ID number of contributing	State Zip Code NY 10271-3599	Date of Receipt M
federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	Occupation Managing Director & Counsel, State Gov Aggregate Year-to-Date 616.00	38.50
Full Name (Last, First, Middle Initial) David L Cohen Mailing Address 120 Broadway 35th Floor City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10271-3599 C Occupation Managing Director Aggregate Year-to-Date ▼ 346.50	Date of Receipt 08 06 2014 Transaction ID: 21947135 Amount of Each Receipt this Period 38.50
Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen Mailing Address 1101 New York Ave, NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4279 C Occupation Executive Vice President, Communicatio Aggregate Year-to-Date ▼ 1538.40	Date of Receipt 08 06 2014 Transaction ID: 21947136 Amount of Each Receipt this Period 96.15
SUBTOTAL of Receipts This Page (optional)	>	173.15
TOTAL This Period (last page this line number	er only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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or for commercial purposes, other than using the	ne name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Financ	ial Markets Association Political Ac	etion Committee
Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell Mailing Address 1101 New York Ave, NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4269 C Occupation Managing Director Aggregate Year-to-Date ▼ 616.00	Date of Receipt 08 06 2014 Transaction ID: 21947137 Amount of Each Receipt this Period 38.50
Mailing Address 120 Broadway 35th Floor City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	State Zip Code NY 10271-3599 C Occupation Director Aggregate Year-to-Date ▼ 307.68	Date of Receipt 08 06 2014 Transaction ID: 21947138 Amount of Each Receipt this Period 19.23
Full Name (Last, First, Middle Initial) Ms. Lisa Fues Mailing Address 1101 New York Ave, NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4269 C Occupation Vice President Aggregate Year-to-Date ▼ 307.68	Date of Receipt M
SUBTOTAL of Receipts This Page (optional)	>	76.96
TOTAL This Period (last page this line numbe	r only)	

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NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial) Marin E. Gibson		Date of Receipt
Mailing Address 120 Broadway 35th Floor		08 06 _ 2014 _
City	State Zip Code	Transaction ID : 21947140
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	76.92
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director & Counsel	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1230.72	
Full Name (Last, First, Middle Initial) Ms. Nancy Donohoe Lancia		Date of Receipt
Mailing Address 120 Broadway 35th Floor		08 06 2014
City New York	State Zip Code NY 10271-3599	Transaction ID : 21947141 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director, State Govt. Affairs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 616.00	
Full Name (Last, First, Middle Initial) C. Mr. Carter McDowell		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		08 06 2014
City Washington	State Zip Code DC 20005-4269	Transaction ID : 21947142
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 288.46
Name of Employer	Occupation	
Securities Industry and Financial Mark	Senior Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	4519.25	
SUBTOTAL of Receipts This Page (optional)		403.88
TOTAL This Period (last page this line number	r only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any personal part of any political committee to	on for the purpose of soliciting contributions of solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financia	al Markets Association Political Ac	tion Committee
Full Name (Last, First, Middle Initial) Mr. Payson R. Peabody		Date of Receipt
Mailing Address 1101 New York Avenue, NW		M = M / D = D / Y = Y = Y = Y
8th Floor City	State Zip Code	08 06 2014 Transaction ID : 21947143
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	616.00	
Full Name (Last, First, Middle Initial) Mr. Thomas F Price		Date of Receipt
Mailing Address 120 Broadway		M M / D D / Y Y Y Y Y
35th Floor City	State Zip Code	08 06 2014
New York	NY 10271-3599	Transaction ID : 21947144 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	76.96
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	731.38	
Full Name (Last, First, Middle Initial) C. Mr. Joseph M. Vaughan		Date of Receipt
Mailing Address 1101 New York Avenue, NW Suite 800		08 06 2014
City Washington	State Zip Code DC 20005-4279	Transaction ID : 21947146
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	462.00	
SUBTOTAL of Receipts This Page (optional)		153.96
TOTAL This Period (last page this line number	only)	

Morgan Stanley

Primary

Primary

Other (specify)

General

General

Receipt For:

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

Contribution

Contribution

					PAGE		12	OF	27		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Mr. Tom Sagissor Date of Receipt Mailing Address 332 Melville Ct, N. 25 2014 City State Zip Code Transaction ID: 21954063 MN 55082-3929 Stillwater Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Name of Employer Occupation **RBC** Wealth Management Regional Director - Midwest Receipt For: Aggregate Year-to-Date ▼ Primary General Contribution 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mr. Eric F. Grossman Date of Receipt Mailing Address 58 Willow Avenue 80 2014 25 City State Zip Code Transaction ID: 21954064 Larchmont NY 10538-3642 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Name of Employer Occupation

	Other (specify) ▼	1000.00	Communication
С .	Full Name (Last, First, Middle Initial) Mr. Gregory Fleming		Date of Receipt
	Mailing Address 4 World Financial Center		M = M / D = D / Y = Y = Y
	8th Floor		08 28 2014
	City	State Zip Code	Transaction ID: 21958819
	New York	NY 10080-1001	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	2000.00
	Name of Employer	Occupation	-
	Morgan Stanley	President, Global Markets and Investme	
	Receipt For:	Aggregate Year-to-Date ▼	1

Managing Director

Aggregate Year-to-Date ▼

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ug c	13	14	15	16	17

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NAME OF COMMITTEE (In Full) Securities Industry and Financi	al Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial) Mr. Ward D. Ring		Date of Receipt
Mailing Address 5223 Larada Lane		08 29 / Y = Y = Y = Y
City	State Zip Code	Transaction ID: 21979129
Edina	MN 55436-1082	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer	Occupation	
RBC Wealth Management	Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen Jr.		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		08 20 2014
City	State Zip Code	Transaction ID: 22009339
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	192.35
Name of Employer	Occupation	
Securities Industry and Financial Mark	President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	3269.95	
Full Name (Last, First, Middle Initial) C. Ms. Lisa Bleier	1	Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		08 20 / 2014
City	State Zip Code DC 20005-4269	Transaction ID: 22009340
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	200.04	
Other (specify) ▼	326.91	
SUBTOTAL of Receipts This Page (optional)	>	1211.58
TOTAL This Period (last page this line number	only).	

FOR LINE NUMBER: PAGE 14 OF 27 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ms. Kyle Brandon Date of Receipt Mailing Address 120 Broadway 35th Floor 20 2014 City Zip Code State Transaction ID: 22009341 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director, DOR Receipt For: Aggregate Year-to-Date ▼ Primary General 1634.55 Other (specify) Full Name (Last, First, Middle Initial) B. Ms Kim Chamberlain Date of Receipt Mailing Address 120 Broadway 35th Floor 80 20 2014 City State Zip Code Transaction ID: 22009343 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director & Counsel, State Gov Receipt For: Aggregate Year-to-Date ▼ Primary General 654.50 Other (specify) Full Name (Last, First, Middle Initial) c. David L Cohen Date of Receipt Mailing Address 120 Broadway 80 20 2014 35th Floor City Zip Code State Transaction ID: 22009345 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) 173.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOR LINE	NUMBER:	: PAGE	= 15
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for each category of the Detailed Summary Page	X 11a	11b	11c	12
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Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any personance name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financi	al Markets Association Political Ac	tion Committee
Full Name (Last, First, Middle Initial) A. Ms. Cheryl Crispen		Date of Receipt
Mailing Address 1101 New York Ave, NW		M = M / D = D / Y = Y = Y
8th Floor City	State Zip Code	08 20 2014 Transaction ID : 22000346
Washington	DC 20005-4279	Transaction ID : 22009346 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Executive Vice President, Communicatio	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1634.55	
Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell		Date of Receipt
Mailing Address 1101 New York Ave, NW		M = M / D = D / Y = Y = Y
8th Floor City	State Zip Code	08 20 2014
Washington	DC 20005-4269	Transaction ID: 22009347
	20003-4203	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 654.50	
Full Name (Last, First, Middle Initial) C. Tracy Eichler		Date of Receipt
Mailing Address 120 Broadway 35th Floor		08 20 2014
City	State Zip Code	Transaction ID: 22009348
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	
Securities Industry and Financial Mark	Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	326.91	
SUBTOTAL of Receipts This Page (optional)	_	153.88
TOTAL This Period (last page this line number	only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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		13		14		15		16	17

Any information copied from such Reports and sor for commercial purposes, other than using the	Statements may not be sold or used by any personal ename and address of any political committee to	on for the purpose of soliciting contributions of solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financi	al Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial) A. Ms. Lisa Fues		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		08 20 2014
City	State Zip Code	Transaction ID : 22009349
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	
Securities Industry and Financial Mark	Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	326.91	
Full Name (Last, First, Middle Initial) Marin E. Gibson		Date of Receipt
Mailing Address 120 Broadway 35th Floor		08 20 2014
City New York	State Zip Code NY 10271-3599	Transaction ID : 22009350
	10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	76.92
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director & Counsel	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	1307.64	
Full Name (Last, First, Middle Initial) Ms. Nancy Donohoe Lancia		Date of Receipt
Mailing Address 120 Broadway 35th Floor		08 20 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City New York	State Zip Code NY 10271-3599	Transaction ID : 22009351 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director, State Govt. Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	654.50	
SUBTOTAL of Receipts This Page (optional)		134.65
TOTAL This Period (last page this line number	only)	

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS Any information copied from such Reports and or for commercial purposes, other than using the such Reports and the such Reports are such Reports and the such Reports and the such Reports and the such Reports are such Reports and the such Reports and the such Reports and the such Reports are such Reports and the such Reports are such Reports and the such Reports and the such Reports are such Reports and the such Reports and the such Reports are such Reports and the such Reports are such Reports and Reports are such Reports and Reports and Reports are such Reports are such Reports and Reports are such Reports and Reports are such Reports and Reports are such Reports are such Reports are such Reports a			
NAME OF COMMITTEE (In Full) Securities Industry and Finance Full Name (Last, First, Middle Initial) Theodore Lazo Mailing Address 3101 Northampton Street NV City Washington FEC ID number of contributing federal political committee.		Zip Code 20015-1608	Date of Receipt 08 20 2014 Transaction ID: 22009352 Amount of Each Receipt this Period 38.50
Name of Employer Securities Industry and Financial Mark Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Managing E Aggregate		
Full Name (Last, First, Middle Initial) B. Mr. Carter McDowell Mailing Address 1101 New York Ave, NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	1	Zip Code 20005-4269 aging Director Year-to-Date ▼ 4807.71	Date of Receipt 08 20 2014 Transaction ID: 22009353 Amount of Each Receipt this Period 288.46

Other (specify) ▼	4807.71	
Full Name (Last, First, Middle Initial) C. Mr. Payson R. Peabody Mailing Address 1101 New York Avenue, NW		Date of Receipt
8th Floor City	State Zip Code	08 20 2014 Transaction ID : 22009354
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	654.50	

SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

	the name and address of any political committee to	
	cial Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial) Mr. Thomas F Price Mailing Address 120 Broadway 35th Floor City New York FEC ID number of contributing federal political committee. Name of Employer	State Zip Code NY 10271-3599 C	Date of Receipt 08 20 2014 Transaction ID: 22009355 Amount of Each Receipt this Period 76.96
Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	Managing Director Aggregate Year-to-Date ▼ 808.34	
Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan Mailing Address 1101 New York Avenue, NV Suite 800 City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4279 C Occupation Vice President Aggregate Year-to-Date ▼ 500.50	Date of Receipt 08 20 2014 Transaction ID : 22009357 Amount of Each Receipt this Period 38.50
Full Name (Last, First, Middle Initial) Mailing Address City FEC ID number of contributing federal political committee. Name of Employer Receipt For: Primary General Other (specify) Other (specify)	State Zip Code C Occupation Aggregate Year-to-Date ▼	Date of Receipt Amount of Each Receipt this Period
SUBTOTAL of Receipts This Page (optional).	>	115.46
TOTAL This Period (last page this line number	er only)	8269.86

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	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 19 OF 27 (check only one) 11a 11b X 11c 12 13 14 15 16 17
	y information copied from such Reports and SI for commercial purposes, other than using the			erson for the purpose of soliciting contributions
	NAME OF COMMITTEE (In Full) Securities Industry and Financia	l Market	s Association Political	Action Committee
Α.	Full Name (Last, First, Middle Initial) J.P. Morgan Chase & Co. Political Act Mailing Address 10 South Dearborn Streer	ion Commi	ittee	Date of Receipt
	IL 1-0520 City	State	Zip Code	08 11 2014 Transaction ID : 21942720
	Chicago	IL	60603	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee. Name of Employer	C Cod	0128512	5000.00
	Receipt For: Primary General Other (specify) ▼	'	Year-to-Date ▼ 5000.00	Contribution
В.	Full Name (Last, First, Middle Initial) The Charles Schwab Corporation PA	4C		Date of Receipt
	Mailing Address 101 Montgomery Street City	State	Zip Code	08 15 2014
	San Francisco	CA	94101	Transaction ID : 21947127
	FEC ID number of contributing federal political committee.		0370114	Amount of Each Receipt this Period 5000.00
	Name of Employer	Occupation		
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 5000.00	Contribution
- С.	Full Name (Last, First, Middle Initial) UBS Americas Fund for Better Go	vernment		Date of Receipt
	Mailing Address 1285 Avenue of the Americas 14th Floor			08 01 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City New York	State NY	Zip Code 10019	Transaction ID : 22010973 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C co	0012245	5000.00
	Name of Employer	Occupation		
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General Other (specify) ▼		5000.00	Contribution
5	UBTOTAL of Receipts This Page (optional)			15000.00

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (in Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association Mailing Address 120 Broadway Floor 36 City State Zip Code Name of Employer Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association Mailing Address 120 Broadway Floor 35 Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association Mailing Address 120 Broadway Floor 35 Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association Mailing Address 120 Broadway Floor 35 City State Zip Code NY 10271 FEC ID number of contributing deforal political committee. C Aggregate Year-to-Date ▼ Floor 35 City State Zip Code Fec ID number of contributing deforal political committee. C Aggregate Year-to-Date ▼ Floor 35 Full Name (Last, First, Middle Initial) Aggregate Year-to-Date ▼ Floor 36 City State Zip Code Anount of Each Receipt this Period FEG ID number of contributing Fed ID number of contributing FEG ID number of contributing Fed ID numb	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 20 OF 27 (check only one) 11a
Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association Mailing Address 120 Broadway Floor 35 City Sitate Typ Code NY 10271 FEC ID number of contributing (derarl political committee) Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association Mailing Address 120 Broadway Floor 35 City Aggregate Year-to-Date ▼ File In Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association Mailing Address 120 Broadway Floor 36 City State Typ Code NY 10271 FEC ID number of contributing (actor) File D number of contributing (actor) Fector applicate committee. C Aggregate Year-to-Date ▼ File Name (Last, First, Middle Initial) Aggregate Year-to-Date ▼ Full Name (Last, First, Middle Initial) C State Zip Code NY 10271 Fec ID number of contributing (actor) Fe				erson for the purpose of soliciting contributions
A. Securities Industry and Financial Markets Association Mailing Address 120 Broadway Floor 35 City State Zip Code New York NY 10271 FEC ID number of contributing federal political committee. Name of Employer Cocupation Receipt First, Middle Initial) Securities Industry and Financial Markets Association Mailing Address 120 Broadway Floor 35 City State Zip Code New York NY 10271 Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association Mailing Address 120 Broadway Floor 35 City State Zip Code New York NY 10271 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Cocupation Cocupation Cocupation Cocupation Date of Receipt Transaction ID. 21979124 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. City State Zip Code Fell Name (Last, First, Middle Initial) Date of Receipt Transaction ID. 21979124 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. City State Zip Code FEC ID number of contributing federal political committee. City State Zip Code Amount of Each Receipt this Period	` '	Market	s Association Political A	Action Committee
Mailing Address 120 Broadway Floor 35 City State Zip Code New York NY 10271 FEC ID number of contributing federal political committee. Fell Name (Last, First, Middle Initial) FEC ID number of contributing federal political committee. City State Zip Code NY 10271 Aggregate Year-to-Date ▼ Full Name (Last, First, Middle Initial) FEC ID number of contributing federal political committee. City State Zip Code Fec ID number of contributing federal political committee. City State Zip Code Amount of Each Receipt this Period Fec ID number of contributing federal political committee. City State Zip Code Amount of Each Receipt this Period Amount of Each Receipt this Period Fec ID number of contributing federal political committee. Name of Employer Occupation Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Other (specify) ▼ Aggregate Year-to-Date ▼	Mailing Address 120 Broadway Floor 35 City New York FEC ID number of contributing federal political committee. Name of Employer Receipt For: Primary General	State NY C	Zip Code 10271 Year-to-Date ▼	08 01 2014 Transaction ID: 21923308 Amount of Each Receipt this Period 289.11
Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mailing Address City State Zip Code FEC ID number of contributing federal political committee. Name of Employer Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Amount of Each Receipt this Period Feceipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼	Mailing Address 120 Broadway Floor 35 City New York FEC ID number of contributing federal political committee.	State NY	Zip Code 10271	08 29 2014 Transaction ID : 21979124 Amount of Each Receipt this Period
Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Occupation Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼	Receipt For: Primary General Other (specify) ▼		Year-to-Date ▼	Reimbursement of Bank Fees
Primary General Other (specify) ▼ Aggregate Teal-to-Date ▼	Mailing Address City FEC ID number of contributing federal political committee.	С		M = M / D = D / Y = Y = Y
	Primary General Other (specify) ▼		7	1237.88

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S	CHEDULE A (FEC Form 3X)			FOR LINE NUMBER: PAGE 21 OF 27						
	EMIZED RECEIPTS		Use separate schedule(s) for each category of the	(check only one)						
			Detailed Summary Page	11a 11b 11c 12 13 14 15 X 16 17						
Ar or	y information copied from such Reports and S for commercial purposes, other than using the	tatements ma name and a	ay not be sold or used by any produced by any produced committee	erson for the purpose of soliciting contributions e to solicit contributions from such committee.						
	NAME OF COMMITTEE (In Full) Securities Industry and Financia	al Markets	s Association Political	Action Committee						
Α.	Full Name (Last, First, Middle Initial) Cantor For Congress			Date of Receipt						
	Mailing Address P.O. Box 17813			08 15 2014						
	City Richmond	State VA	Zip Code 23226	Transaction ID : 21947105 Amount of Each Receipt this Period						
	FEC ID number of contributing federal political committee.	C cod	0355461	2125.00						
	Name of Employer	Occupation	1							
	Receipt For: 2014 Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2125.00	Refund of 3/4/14 Contribution						
— В.	Full Name (Last, First, Middle Initial)			Date of Receipt						
υ.	Mailing Address			M = M / D = D / Y = Y = Y						
	City	State	Zip Code	Amount of Each Passint this Paried						
	FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period						
	Name of Employer	Occupation	1							
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼							
<u> </u>	Full Name (Last, First, Middle Initial)			Date of Receipt						
C.	Mailing Address			M = M / D = D / Y = Y = Y						
	City	State	Zip Code	Amount of Each Receipt this Period						
	FEC ID number of contributing federal political committee.	С								
	Name of Employer	Occupation								
	Receipt For: Primary General Other (specify) ▼	00 0	Year-to-Date ▼							

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

2125.00

S	CHEDULE B (FEC Form 3X)			FOR LINE	NUMBER:		PAGE	22 (OF 27	
IT	EMIZED DISBURSEMENTS		arate schedule(s) category of the	(check only	one)	¬ 00		7.65		
			Summary Page	X 21b 27	22 28a	23 28b	24 28c	25 29	26 30b	
Δ,	ny information copied from such Reports and Staten	nente mov	not he sold or us							
	for commercial purposes, other than using the nam									
\setminus	NAME OF COMMITTEE (In Full)									
	Securities Industry and Financial M	larkets <i>l</i>	Association	Political Ac	tion Con	nmittee	! 			
^	Full Name (Last, First, Middle Initial)				D-/ / 5	Y-1	1			
A.	PNC Bank	Date of D								
	Mailing Address 1400 K Street, NW				08	04		2014	Y	
	City	State	Zip Code		Transco	tion ID : 1	22007605			
	Washington	DC	20005		iransac	tion ID : 2	22007695			
	Purpose of Disbursement Credit Card Fees			001	Amount o	f Each Di	sburseme	nt this	Period	
	Candidate Name			Category/				339	9.08	
	Office Sought: House Disbursen	nent For:		Type		7	7			
		Primary	General		Credit Car	d Fees				
		Other (spec			Crodit Oal					
	State: District:									
_	Full Name (Last, First, Middle Initial)		· · · · · · · · · · · · · · · · · · ·		_					
В.	PNC Bank					isbursem				
	Mailing Address 1400 K Street, NW					08 05 2014				
	City			=						
	Washington	State DC	Zip Code 20005		Transac	tion ID : 2	22007697			
	Purpose of Disbursement Credit Card Fees			001	Amount o	f Fach Di	sburseme	nt thic	Period	
	Candidate Name				, anount o	. Laon Di	5541501116		Jilou	
				Category/ Type		,	-	556	5.11	
	Office Sought: House Disbursen	nent For:								
		Primary	General		Credit Car	d Fees				
		Other (spec	cify) 🔻							
_	State: District:									
C.	Full Name (Last, First, Middle Initial) PNC Bank				Date of D	isbursem	ent			
٠.	I NO Dalik				M M	/ D D		ΥΥΥ	Υ	
	Mailing Address 1400 K Street, NW				08 04 2014					
	City	State	Zip Code							
	Washington	DC	20005		Transac	tion ID : 2	22007712			
	Purpose of Disbursement									
	Candidate Name			001	Amount o	f Each Di	sburseme	nt this	Period	
	Candidate Ivallie			Category/ Type	53.58					
	Office Sought: House Disbursen	nent For:		1 9 P G		7	7			
		Primary	General		Bank Fees	3				
	President	Other (spec	cify) 🔻			-				
	State: District:									
s	SUBTOTAL of Disbursements This Page (optional)							948	3.77	
Ĕ					-	7			#	
т	OTAL This Period (last page this line number only)					-1	-	948	3.77	

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER:	PAGE 23 OF 27
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(oricon oriny		
	Detailed Summary Page	21b 27	22 X 23 28b	24 25 26 28c 29 30b
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NAME OF COMMITTEE (In Full)	, , , , , , , , , , , , , , , , , , ,			
Securities Industry and Financial M	arkets Association	Political Ac	tion Committee	
		1 Ollifodi 7 to		
Full Name (Last, First, Middle Initial)				
A. Connolly For Congress			Date of Disbursemen	nt
Mailing Address PO Box 563			08 13	2014
Mailing Address TO Box 505			00 13	2014
City	State Zip Code		Transaction ID : 24	1044707
	VA 22116		Transaction ID: 21	1944707
Purpose of Disbursement Contribution		044	Assessment of Foods Dist	home and this Deviced
Candidate Name		011	Amount of Each Dis	bursement this Period
Rep. Gerald E. Connolly		Category/ Type		1000.00
	nent For: 2014	Турс		, , , , , , , , , , , , , , , , , , , ,
	Primary Seneral		Contribution	
President	Other (specify) ▼			
State: VA District: 11				
Full Name (Last, First, Middle Initial)			5 . (5)	
B. Kind For Congress Committee			Date of Disbursemer	
Mailing Address 205 5th Avenue South			08 13	2014
Maining / Mainoso 200 Still Avenue South			00 10	2011
City	State Zip Code		Transaction ID : 2	1944718
La Crosse	WI 54601		Transaction ib . 2	1044110
Purpose of Disbursement Contribution		011	Amount of Each Dis	bursement this Period
Candidate Name			Amount of Lacif Dis	bursement this renou
Rep. Ron Kind		Category/ Type		1000.00
	nent For: 2014	21	,	,
Senate	Primary X General		Contribution	
	Other (specify) ▼			
State: WI District: 03				
Full Name (Last, First, Middle Initial)			Date of Disbursemer	at .
C. Richard E Neal For Congress Com	mittee			/ Y Y Y Y
Mailing Address 76 Magnolia Terrace			08 13	2014
,	State Zip Code		Transaction ID : 21	1944719
Springfield Purpose of Disbursement	MA 01108			
Contribution		011	Amount of Each Dis	bursement this Period
Candidate Name	Category/	Amount of Each Dis		
Rep. Richard E. Neal		Type		1000.00
	nent For: 2014		,	·
	Primary General		Contribution	
	Other (specify) ▼			
State: MA District: 02				
SUBTOTAL of Disbursements This Page (optional)				3000.00
22272 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2				7
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TEMIZED DISBURSEMENTS	for each category Detailed Summary	of the	21b 27		23 28b	24 28c	25 29	3
Any information copied from such Reports and State or for commercial purposes, other than using the nar								
NAME OF COMMITTEE (In Full) Securities Industry and Financial N		,						
Full Name (Last, First, Middle Initial)								
A. Tim Walz For Us Congress				Date of D	Disbursem		YIY	Y
Mailing Address PO Box 938				08	13	J	2014	
Mankato	State Zip Coo MN 56002	de		Transac	tion ID :	21944724		
Purpose of Disbursement Contribution		Γ	011	Amount o	f Each D	isburseme	nt this F	Period
Candidate Name Rep. Timothy J. Walz			Category/ Type		,		1000	.00
Office Sought: House Disburse Senate President	ment For: 2014 Primary ∑ Ge Other (specify) ▼	eneral		Contributi	on			
State: MN District: 01 Full Name (Last, First, Middle Initial) 3- Sherman For Congress				Date of D	Disbursem	ent		
Mailing Address 777 S. Figueroa St., Ste. 4050				M = M 08	/ 13	_	2014	Y
City Los Angeles	State Zip Coo CA 90017	de		Transac	ction ID :	21944726		
Purpose of Disbursement Contribution	33317	Г	011	Amount o	of Each D	isburseme	nt this F	Period
Candidate Name			Category/				1000) 00
Rep. Brad Sherman			Type		7	- 7	1000	
Office Sought: House Disburse	ment For: 2014 Primary	eneral		Contributi	on			
Full Name (Last, First, Middle Initial) - Scalise For Congress)isbursem			
Mailing Address PO Box 23219	Mailing Address PO Box 23219						2014	Ą
City Jefferson Purpose of Disbursement	Jefferson LA 70183							
Candidate Name	[011	Amount of Each Disbursement this Period					
Rep. Steve Scalise			Category/ Type				2500	.00
Senate President	ment For: 2014 Primary Ge Other (specify)	eneral		Contribution	on	-,		
State: LA District: 01								

SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER: PAGE 25 OF 27
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	
	for each category of the Detailed Summary Page	21b	22 🗙 23 🔲 24 🔲 25 🖂 26
		27	28a 28b 28c 29 30b
Any information copied from such Reports and State or for commercial purposes, other than using the nar	ments may not be sold or use	ed by any perso	on for the purpose of soliciting contributions
	The and address of any pointe	ar committee to	Solicit contributions from Such committee.
NAME OF COMMITTEE (In Full)	Markata Appaiation	Dolitical Ac	tion Committee
Securities Industry and Financial N	larkets Association	Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			
^{A.} Heidi For Senate			Date of Disbursement
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Mailing Address PO Box 1577			08 26 2014
City	State Zip Code		
Bismarck	ND 58502		Transaction ID: 21954236
Purpose of Disbursement Contribution			
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Candidate Name		Category/	1000.00
Sen. Heidi Heitkamp Office Sought: House Disburse	ment For: 2018	Туре	
✓ Senate	Primary General		Contribution
President	Other (specify) ▼		Contribution
State: ND District:			
Full Name (Last, First, Middle Initial)			
B. Pearce for Congress			Date of Disbursement
Mailing Address D.O. Day 2000			08 26 2014
Mailing Address P.O. Box 2696			06 20 2014
City	State Zip Code		Transaction ID : 21954258
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Office Sought: House Senate President State: NM District: 02 Full Name (Last, First, Middle Initial) C. Friends Of John Barrow Mailing Address PO Box 1001	Primary General	7.	Date of Disbursement
Office Sought: House Senate President State: NM District: 02 Full Name (Last, First, Middle Initial) C. Friends Of John Barrow Mailing Address PO Box 1001 City	Primary		Date of Disbursement
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Full Name (Last, First, Middle Initial)					
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions for for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (in Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Mulvaney For Congress Amount of Each Disbursement Contribution Candidate Name Rep. Mick Mulvaney Office Sought: House Disbursement For: 2014 Senate Primary General Primary President Primary President Primary General Primary Primary President Primary President Primary Primar									
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